

**SOMERVILLE COLLEGE**  
**NON-ACADEMIC MISCONDUCT**  
**DISCIPLINARY PROCEDURE**

**1. Introduction**

- 1.1. This Disciplinary Procedure deals with conduct by students which breaches their non-academic obligations as a member of the College. These obligations, which are summarised or identified in Appendix A, are referred to as “the College Code of Discipline”. In this Procedure a “Breach of Discipline” refers to a breach of one or more of the provisions of the College Code of Discipline.
- 1.2. The purpose of this Procedure is to address breaches of discipline by students, and not to resolve disputes between individuals. Students may only be disciplined where their conduct occurs in a College Context, as defined in Appendix B. Further definitions are contained in Appendix C.
- 1.3. In this Procedure the person making a report is referred to as the “reporter” and the person who is alleged to have breached the College Code of Discipline is referred to as the “subject”.
- 1.4. For informal advice about procedural aspects of the disciplinary process, please contact the Senior Tutor or the Dean.
- 1.5. Where disciplinary proceedings are contemplated the Dean will remind reporters and subjects of the sources of support available to them. Advice and support for students are available from:
  - The College student welfare officer, Jo Ockwell ([welfare.officer@some.ox.ac.uk](mailto:welfare.officer@some.ox.ac.uk)), as well as the Junior Deans;
  - The University Sexual Harassment and Violence Support Service ([supportservice@admin.ox.ac.uk](mailto:supportservice@admin.ox.ac.uk)); and
  - The University Student Welfare and Support Services (<https://www.ox.ac.uk/students/welfare>).
- 1.6. Separately, the Dean, the Principal or their delegate may impose any safeguarding measures that are reasonably necessary and proportionate to ensure the peace of the College and the safety of its members. Such measures are temporary in nature and should only be imposed for such period of time as is reasonably necessary to enable the Dean to deal with any complaint made to him or her. Safeguarding measures may include requiring a student to have no contact with another Member of the College or excluding any person from College premises. These safeguarding measures are neutral in effect and do not imply that any student on whom measures

are imposed has been found to be in breach of any of their obligations.

## **2. General**

- 2.1. Reports will usually be dealt with confidentially by all parties involved and details will not normally be disclosed except where it is necessary to do so to carry out a fair investigation, to effect a safeguarding or precautionary measure (under paragraph 1.6 above or 4.4 below) or to communicate the outcome of disciplinary proceedings where appropriate, to protect members of the College and/or University community and/or the public, and/or to comply with legal or regulatory obligations.
- 2.2. Parties should strive to act promptly, and to meet the time limits set out in this Procedure. Time limits may be extended by the relevant decision-maker where it is necessary in the interests of fairness. It will often be necessary to extend time-limits for complex cases. Where time limits are extended, the subject (and reporter where appropriate) will be kept updated about the progress of the case.
- 2.3. If any member of the College has concerns that a student involved in this Procedure is suffering health, welfare or academic study issues as defined in the College's Fitness to Study Procedure or other difficulties that may be relevant to whether or how the disciplinary procedure should be used, the student should be directed to the Somerville welfare team and/or to the University Student Welfare and Support Services, as indicated above. The member of College should at the same time inform the person responsible for fitness to study procedures in College.
- 2.4. Reasonable adjustments may be made to this Procedure in individual cases in order to enable the subject and any other person affected by application of the Procedure to participate fairly.
- 2.5. It is possible to make a report under this Procedure anonymously, although this should only occur in exceptional circumstances where there are compelling reasons to do so. Such a report may be made through a supporter, as referenced in paragraph 2.6 below. While some investigation may be possible in certain cases, it will usually be very difficult to proceed with disciplinary action following an anonymous report, because of the need in the interests of fairness to allow the subject to respond to the report. Nevertheless, every effort will be made to balance and respect the reporter's desire for confidentiality with the subject's right to respond effectively to reports made.
- 2.6. Subjects and reporters may be accompanied to meetings, interviews or hearings by a supporter, who should normally be a member or employee of the College or a member of the Oxford Student Union, so long as the name and position of the supporter is provided in advance and any further procedural requirements set out in the procedure below are met. Requests to be accompanied by a supporter should be made to the Dean, Investigator and/or Disciplinary Panel Chair as appropriate at least 48 hours in advance of the date of any meeting or hearing. Legal

representation will not normally be permitted, though reasoned requests for such representation may be made, and will be considered.

- 2.7. Meetings and hearings may take place online where this is necessary to avoid undue delay or to protect the welfare of any person.
- 2.8. Where there is more than one reporter and/or more than one subject it may be appropriate for all the subjects to hear or be provided with the other subjects' evidence.
- 2.9. The standard of proof used when making determinations under this Procedure is the balance of probabilities. This means that the Dean and/or the Disciplinary Panel will conclude that there has been a breach of the College Code of Discipline if they are satisfied that it is more likely than not that the conduct which is alleged to be a breach of the Code of Discipline occurred.
- 2.10. Non-compliance with a disciplinary penalty imposed under this Procedure may result in further disciplinary penalties, including additional fines, suspension or expulsion.
- 2.11. Any member of College involved in administering this Procedure should not act if there is any reasonable perception of bias. By way of illustration, this may occur if the member of College is acting as the reporter's or subject's supervisor, personal tutor or graduate adviser. If the Dean is unable (for any reason) to act, the Principal will appoint an appropriate substitute. Any decision to be made or action to be performed by the Principal may be made or performed by the Vice-Principal or Senior Tutor in the event that the Principal is absent, has a conflict of interest involving a reasonable perception of bias, or is otherwise unable to undertake the action within a reasonable time.
- 2.12. Records will be kept at all stages of the process and will be processed in accordance with the College's records management and privacy policies, which can be found on the College website.
- 2.13. This Procedure makes provision for the subject to appeal against adverse decisions taken under Part 5. The reporter is not a party to disciplinary proceedings and does not have a right of appeal from the outcome of a disciplinary decision. If the reporter is a student and is dissatisfied with the action taken under this Procedure they may make a complaint under the College Complaints procedure, which can be found on the College website. Any complaint will be addressed by individuals who have not previously been involved in the report.

### **3. Initial Considerations**

- 3.1. Reporters who are students may consider using the University Student Resolution Service, which is a free mediation service for students who find themselves in conflict with another student. Further information about this Service can be found

on the University website

- 3.2. The Dean may liaise between reporting students and subjects where there has been a relationship breakdown to put in place a no contact agreement on the basis that there has been no admission of fault. Such agreements will be neutral in effect and will impose the minimum impact reasonably possible on all students involved.
- 3.3. Appendix B sets out the College's approach in cases where the alleged conduct falls within the scope of more than one procedure or may constitute a criminal offence.

#### **4. Reports and Precautionary Measures**

- 4.1. Reports should normally be made in writing, by email to the Dean **deans.office@some.ox.ac.uk**. If a reporter does not wish to make a written report in the first instance they should contact the Welfare Officer, who will discuss with the reporter whether they should make a report and, if the reporter wishes to proceed, to make a written account of the report which they will invite the reporter to confirm. That report will then be submitted to the Dean.
- 4.2. If the report is not successfully resolved informally, or if informal resolution is not appropriate, the Dean, or where necessary, their delegate will decide whether to investigate an alleged breach of discipline.
- 4.3. If the Dean considers that a report raises a case of a breach of discipline requiring an answer, they may (i) investigate the report under the Procedure for Minor Breaches of Discipline set out at Part 5 below, or (ii) refer the case to the Senior Tutor in accordance with the Procedure for Major Breaches of Discipline set out at Part 6 below.
- 4.4. Without prejudice to the power in paragraph 1.6 above, the Dean may at any time impose temporary precautionary measures on the reporter and/or the subject for the remainder of this Procedure. Precautionary measures do not indicate any finding of misconduct and are not penal in nature.
- 4.5. Possible precautionary measures may include (without limitation): a no contact arrangement; a ban from, or time constraints for, accessing particular College buildings or services; recommending a ban from, or time constraints for, accessing particular University buildings or services or services of another college (subject to endorsement by the University or relevant college as appropriate); moving either the reporter or subject to alternative College or University accommodation; and/or, where no other option is appropriate, a temporary suspension of studies.
- 4.6. Precautionary measures should aim to cause the minimum restriction necessary to protect the individuals concerned, or members of the College from an identified risk, or to protect an investigation under this Procedure, and should take into account

safeguarding considerations where relevant.

- 4.7. Precautionary measures are particularly likely to be appropriate in cases involving a risk to any individual's mental or physical health, issues of a highly sensitive or confidential nature and/or where there is a threat of significant disruption to academic study or other College activities.
- 4.8. The Dean will promptly provide the student and, where appropriate, the reporter, with written reasons for any precautionary measures imposed. Any student upon whom precautionary measures are imposed may ask the Dean to review them, and, if the request is refused, may apply in accordance with appeal procedures in Part 5 below for the precautionary measures to be reviewed.
- 4.9. The Dean may refer a matter to the Police or seek guidance from the Police or other public safety agencies where the report concerns criminal conduct and/or where there is a significant imminent risk of harm to students or staff. In deciding whether to do so, the Dean should take into account the wishes of the reporter.

## **5. Procedure for Minor Breaches of Discipline**

- 5.1. The Procedure for Minor Breaches of Discipline applies to cases in which the Dean considers that, if established, the alleged breach of discipline would appropriately be addressed by the penalties set out in paragraphs (1) to (8) of Appendix A(II), under the heading "Penalties for Minor Breaches of Discipline".
- 5.2. Where the Dean proceeds to investigate under this section the aim will be to complete an investigation and issue a decision in relation to the alleged breach of discipline as soon as reasonably practicable and in any event within 2 weeks of their decision to investigate.

### **Determination by the Dean**

- 5.3. The Dean will write to the subject informing them of the report, setting out the provision(s) of the College Code of Discipline alleged to have been breached and informing them that the report is being considered under this Part of the Procedure. The Dean will invite the subject to provide a response to the allegation, which may be in writing or at a meeting with the Dean. In all but exceptional circumstances the Dean will provide the subject with at least 24 hours' notice of the date and time of any meeting.
- 5.4. If the subject admits the breach of discipline the Dean will proceed to consider what, if any penalty should be imposed.
- 5.5. If the subject does not admit the alleged breach of discipline the Dean may take any step reasonably and proportionately required to investigate and to assemble relevant evidence. This may include interviewing the reporter and interviewing the subject, as well as interviewing any witnesses, requesting written response to

questions and requesting relevant documents.

- 5.6. The Decanal Officer (or, in their absence, another member of the College administrative staff) will attend any meeting under this Part of the Procedure and take notes of the meeting and/or the meeting will be recorded. As well as taking notes, the Decanal Officer may participate in the discussion if invited to do so by the Dean.
- 5.7. Before reaching any determination under this Part the Dean will disclose all relevant evidence (including exculpatory evidence) to the subject, except where it is necessary to withhold information, such as the identity of a witness, to protect the rights of others, and where the Dean judges that in all the circumstances the need to protect such rights overrides the subject's need for the information in question.
- 5.8. The subject will be provided with the opportunity to respond to that evidence and to provide a justification or explanation for the conduct in question. The subject may elect to respond in writing or at a meeting with the Dean.
- 5.9. Where there are substantial questions of fact to be decided the Dean may refer the report to the Senior Tutor to be considered by a Disciplinary Panel under Part 6 below. It will not normally be necessary in such cases for the Senior Tutor or the Disciplinary Panel to appoint an investigator. All materials gathered by the Dean in the course of their investigation will be provided to the Disciplinary Panel.
- 5.10. After having satisfied themselves that reasonable and proportionate investigatory steps have been taken the Dean will consider and assess all relevant evidence and any response provided by the subject and will determine whether they are satisfied on the balance of probabilities that a breach of discipline occurred.
- 5.11. Where the Dean concludes that a breach of discipline has been established, they will inform the subject in writing what, if any, penalty or penalties they are considering imposing. The Dean may impose any penalty, or a combination of the penalties, set out in Appendix A(II) paragraphs (1)-(8) or may make a conditional determination under Appendix A(II). The subject will have the opportunity to make representations bearing on the penalty, including any mitigation, in writing or at a meeting with the Dean.
- 5.12. The Dean will inform the subject and, where appropriate, the reporter of their decision in writing, providing reasons for any conclusion as to breach of discipline and any penalty or conditional determination imposed. The Dean will remind the subject of their right of appeal and of the matters set out in paragraph 5.13 to 5.17 below. The reporter will be notified of the Dean's decision.

### **Appeal to a Former Dean**

- 5.13. The subject may appeal to a former Dean of the College against a decision made

by the Dean under paragraph 5.12 above.

5.14. The appeal is a review of the Dean's decision and is limited to the grounds set out in paragraph 5.15 below.

5.15. The grounds upon which the subject may appeal are that:

- (a) The Dean has failed to follow this Procedure;
- (b) The subject has new material that it was not reasonably practicable for them to provide earlier in the process, which would be likely materially to have affected the outcome;
- (c) There was an error of interpretation of any of the provisions referred to in Appendix A or of this Procedure;
- (d) The penalty imposed was disproportionate;
- (e) The decision that a breach of discipline was established was one which no reasonable decision-maker could have made; or
- (f) There was bias, or a reasonable perception of bias, on the part of the Dean.

5.16. Any appeal must be made by sending a notice of appeal within 7 days of notification of the Dean's decision to the Senior Tutor, who will in turn appoint a former Dean to hear the appeal. The notice of appeal must set out the ground(s) upon which the subject is appealing and explain why the subject considers that the particular ground of appeal is established. At the same time the subject should provide a copy of the Dean's decision under paragraph 5.12 above and any other documentary evidence which is relevant to their grounds of appeal.

5.17. The subject should state in their notice of appeal whether they are requesting an oral appeal meeting with the former Dean or whether they wish the appeal to be determined on the papers.

5.18. The former Dean selected by the Senior Tutor may invite the subject to an appeal meeting, and will normally do so when the subject has requested it.

5.19. The appointed former Dean may: confirm the Dean's determination; confirm the Dean's determination as to breach of discipline but vary the penalty imposed in such a way that the variation is regarded by the subject as a reduction; require the Dean to reconsider their determination as to breach of discipline; reverse the determination of the Dean as to breach of discipline; or refer the report to a Disciplinary Panel under Part 6 below.

5.20. The appointed former Dean will determine the appeal as soon as reasonably practicable and will normally inform the subject of their decision, and the reasons for it, in writing within 7 days of the determination. The reporter will be informed of

the decision.

- 5.21. If the appeal is not allowed the letter to the subject will explain that it is a Completion of Procedures letter which marks the end of the College process and that the subject has the right to seek review by the Office of the Independent Adjudicator and the time limit for doing so. The letter will also explain where and how the subject can access advice and support.

## **6. Formal Procedure for Major Breaches of Discipline**

- 6.1. This section addresses the procedure which will be followed where the Dean refers a case to the Senior Tutor for the purposes of convening a Disciplinary Panel.
- 6.2. It is normally expected that, where the subject co-operates fully in the process, it will be completed within 28 days of referral of the case to the Senior Tutor, but in complex cases the period may be longer.

### **Notice of Referral**

- 6.3. The Dean may make a referral under this section where they consider that if the alleged breach of discipline is established, and the penalties set out in paragraphs (1) to (8) of Appendix A, under the heading “Penalties for Minor Breaches of Discipline” may not be sufficient to address it.
- 6.4. A referral may be made at any stage after receipt of a report, including at any stage of an investigation under Part 5 above, prior to a determination being made.
- 6.5. On referring the report to the Senior Tutor, the Dean will write to the subject informing them of the report, setting out the provision(s) of the College Code of Discipline alleged to have been breached, stating that the matter has been referred to the Senior Tutor under this part and that a Disciplinary Panel will be convened to consider the report.

### **Appointment of Investigator and Disciplinary Panel**

- 6.6. The Senior Tutor will normally appoint an Investigator to investigate the report. The appointment will normally be made within three working days of the referral. The Investigator may be a senior member of the College or an external Investigator, at the Senior Tutor’s discretion.
- 6.7. The Senior Tutor will write to the subject and the reporter informing them of the identity of the Investigator and that if they have any objection to the appointment, they must set out the reasons for their objections in an email to the Senior Tutor within 2 working days. If the Senior Tutor considers that the grounds for objecting are reasonable, they will aim to appoint an alternative Investigator within 3 working days of the objection being made. The subject and the reporter will be notified of



the identity of any replacement Investigator by email.

- 6.8. A Disciplinary Panel will consist of three people appointed by the Senior Tutor for the purposes of considering the report. Panel members must either be members of the College Governing Body or be people who have been approved by the Governing Body as being suitable Disciplinary Panel members. The Senior Tutor will appoint one of the members as Chair of the Disciplinary Panel.
- 6.9. A Disciplinary Panel may be appointed to consider a single case or a group of cases. Where the Senior Tutor has referred more than one case involving the same subject the Disciplinary Panel may decide to consolidate the cases.
- 6.10. The Senior Tutor will write to the subject and the reporter informing them of the identities of proposed Disciplinary Panel members and stating that if they have any objection to the appointment of any of the members, they must set out the reasons for their objections in an email to the Senior Tutor within 2 working days. If the Senior Tutor considers that the grounds for objecting are valid, they will aim to appoint an alternative panel member within 3 working days of the objection being made.

### **Investigation**

- 6.11. The Investigator will gather such evidence and make such inquiries as appear to them to be proportionate and necessary to determine the issues in the case.
- 6.12. Any evidence obtained by the Dean for the purposes of their investigation of the report shall be provided to the Investigator.
- 6.13. The Investigator shall inform the subject of the case against them, and disclose all relevant evidence (including exculpatory evidence) to the subject, except where it is necessary to withhold information, such as the identity of a witness, to protect the rights of others, and where the Investigator considers that in the circumstances the need to protect such rights overrides the subject's need for the information in question.
- 6.14. The Investigator will give the subject the opportunity to respond to the case against them, including an opportunity to put forward oral and/or written or documentary evidence, and to make representations. Representations may include, but are not limited to, representations regarding any response to the allegation, justification, or excuse for the breach of discipline under investigation, and mitigating factors that may bear on disposal of the case. Such representations should normally be heard at a meeting in person between the subject and the Investigator, at which notes should be taken of the subject's representations. However, where the subject is out of residence at the time, or it is not reasonably practicable for representations to be made in person within a reasonable time, the opportunity to make representations online or in writing may be substituted at the discretion of the Investigator.
- 6.15. The Investigator may provide the reporter with a summary of the evidence, in

response to the report. The Investigator will invite the reporter to comment on the evidence and to provide any further relevant evidence, whether oral or documentary.

- 6.16. A member of the administrative staff of the College will attend any meeting between the subject and other witnesses (including the reporter) and the Investigator. The member of staff will solely be present to take notes of the meeting and/or the meeting may be recorded.
- 6.17. On completion of their investigation, the Investigator will make a written report to the Disciplinary Panel including any written or documentary evidence, notes of meetings, and a conclusion as to whether on the evidence a finding that the subject committed the breach(es) of discipline could be justified.

### **Disciplinary Meeting**

- 6.18. The report will be considered by the Disciplinary Panel at a meeting which will normally be attended by the subject and the Investigator.
- 6.19. It is expected that all relevant witness evidence will have been obtained by the Investigator and will be contained in the Investigation report. In many cases it will not be necessary for the Disciplinary Panel to hear directly from witnesses in order to reach a decision. On receipt of the Investigation Report the Panel Chair will consider whether it would be appropriate to invite any witness, including the reporter, to attend the meeting.
- 6.20. The Chair will write to the subject informing them of the proposed date of the Disciplinary Meeting which will be no less than 14 days after the date of the email. The Chair will: set out the allegation against the subject, referring to the relevant provision(s) of the College Code of Discipline; provide a copy of the Investigator's Report and any other evidence which will be considered by the Disciplinary Panel; set out the names of the members of the Disciplinary Panel and anyone else who will be present for some or all of the meeting, identifying the capacity in which they will be attending; inform the subject of the right to be accompanied in accordance with paragraph 2.6 above; and inform the subject that they can expect the members of the Disciplinary Panel to ask them questions. The Chair will ask the subject to confirm their attendance at the meeting and whether they wish to be accompanied and to call any witnesses. In the event that the subject is unable to attend the Disciplinary Meeting on the proposed date or chooses not attend they should write to the Chair promptly, providing reasons for their inability to attend and/or decision not to attend. The Chair may either propose an alternative date for the Disciplinary Meeting or may confirm that the Disciplinary Meeting will proceed on the proposed date and may proceed in the absence of the subject.
- 6.21. If the subject wishes to call witnesses to the Disciplinary Meeting, they must write to the Chair within 7 days identifying the witnesses and explaining the reasons why they consider attendance to be necessary. The Chair will consider whether it is

appropriate for those witnesses to attend the Disciplinary Meeting to give evidence.

- 6.22. If the Chair decides that it would be appropriate to invite witnesses to the Disciplinary Meeting, they will consider whether any particular arrangements are required to safeguard the interests of the witnesses. Such arrangements may be put in place at the discretion of the Chair but may include: separate waiting areas for the reporter and the subject; the reporter bringing a supporter to sit with them while addressing the Panel and answering questions; the reporter addressing the Panel from behind a screen; the reporter responding to questions via online-link from a different location. Such measures are likely to be required in sexual misconduct cases.
- 6.23. The Chair will determine the procedure for the hearing, so as to ensure a hearing that is fair, and, so far as possible in view of the seriousness of the case, informal and flexible. The subject may make oral and/or written representations to the Disciplinary Panel, and the Panel may ask questions of the subject, the Investigator and any witnesses.
- 6.24. The subject will not be permitted to ask questions directly, but will be provided with the opportunity to put questions to witnesses through the Chair. Where the reporter attends the hearing, the Chair will ensure that the reporter and the subject have an appropriate opportunity to comment on any evidence the other has provided.
- 6.25. A member of the administrative staff of the College will attend and take notes of the meeting, and/or the meeting may be recorded.
- 6.26. After the Disciplinary Meeting, the Disciplinary Panel will deliberate in the absence of any other person, apart from the note-taker. Before determining whether a breach of discipline has been established it will satisfy itself that reasonable and proportionate efforts have been made by the Investigator to obtain the relevant evidence which is necessary to determine the issues in the case from the subject and from others, whether orally or in writing; assess the relevance, reliability and credibility of the evidence; satisfy itself that the subject has had a fair opportunity to answer the case against them; satisfy itself from the evidence obtained that, on the balance of probabilities, it has been shown that the breach of discipline was committed by the subject; and identify the form of disposal which it is minded to adopt, subject to further representations by the subject.
- 6.27. Decisions of the Disciplinary Panel shall be made by a simple majority vote.
- 6.28. If the Disciplinary Panel determines that a breach of discipline has been established, it will notify the subject promptly, and in any event within 7 days of that determination, the reasons for it, and the disposal it is minded to adopt. It will invite the subject to make representations to the Disciplinary Panel within 3 working days of notification of the determination, regarding the appropriate disposal. Such representations may be made orally or in writing, at the choice of the subject. The Disciplinary Panel may also request the provision of a written statement of the

impact of the subject's conduct from the reporter or any other person.

6.29. The Disciplinary Panel may impose, or in cases where it is intended that the student's name shall be removed from the books of the College, shall recommend to Governing Body any penalty or combination of penalties set out in Appendix A(II). It may also make a conditional determination. It will consider the range of available penalties and impose a penalty, or conditional determination, that is appropriate and proportionate in all the circumstances.

6.30. The Panel shall report its determination and disposal to Governing Body as soon as possible, supported by written reasons. Notice of the Panel's determination and disposal will be given in writing to the subject by Governing Body, acting through the Principal, within 3 working days of its stated meeting to consider the Panel's report, supported by a copy of the Panel's report. The decision letter will inform the subject of their right of appeal and of the matters set out in paragraphs 7.2 to 7.5 below. The reporter will be informed of the outcome of the proceedings.

## **7. Appeals following Disciplinary Panel proceedings**

7.1. The decision of the Disciplinary Panel, as confirmed by Governing Body, is not subject to any appeal within the College. The subject may, however, appeal as set out in paragraph 7.2 below.

7.2. The subject may appeal against any determination of a Disciplinary Panel that involves the imposition of a penalty and against any penalty imposed to the Conference of Colleges Appeal Tribunal (CCAT). The Conference of Colleges Appeal Tribunal (CCAT) considers appeals on disciplinary decisions imposing a substantial penalty, made by the body with final jurisdiction within any participating College.

7.3. Any disciplinary measures appealed against do not come into effect pending determination of the appeal. It is, however, still possible for a student to meet any conditions specified in the disciplinary measure or conditional determination and hence to terminate the measure, while the appeal is pending. Precautionary measures may continue to apply pending the determination of an appeal.

## **8. Appeal in relation to breach of conditions**

8.1. If conditions are attached to any disposal under Appendix A(II) and the Dean considers that the subject has failed to meet those conditions, notice of that failure and of the consequences as determined by the terms of the disposal will be given in writing to the subject by the Dean within 3 working days of the failure having come to the attention of the Dean. In the case of a dispute about whether the conditions specified in a disciplinary penalty or conditional determination have been met by the subject, the appeal procedure under this Part applies.

8.2. The subject may appeal against the coming into effect of the consequences of any failure to meet a condition specified in a penalty or conditional determination, on

one or both of the following grounds:

- a) that the Dean was wrong to conclude that the subject failed to meet the condition; or
- b) that the subject's failure to meet the condition was excusable.

8.3. The subject exercises the right of appeal under this provision by giving notice of appeal in writing to the Senior Tutor within 7 days of receipt by the subject of notice under paragraph 8.1 above of their failure to meet the condition.

8.4. The appeal, which will be a rehearing, will be referred by the Senior Tutor to a Disciplinary Panel constituted in accordance with Part 6 above and the procedure under Part 6 will be followed.

## APPENDIX A

### (I) THE CODE OF DISCIPLINE

The rules contained in the Code of Discipline are set out in the Student Handbooks.

### (II) PENALTIES

The penalties which may be imposed, individually or in combination, in respect of a breach of the College Code of Discipline are:

#### **Penalties for Minor Breaches of Discipline**

1. A requirement that the subject apologise, orally or in writing, to the College or to named individuals.
2. A requirement that the subject undertake specified training.
3. A requirement that the subject produce a written reflection.
4. A warning, which will remain on the subject's disciplinary record for a specified period
5. A ban, not exceeding 14 days from any specified College locations, facilities and services not including the subject's own College-provided living accommodation or the route to it, so imposed so as not to disproportionately interfere with the subject's academic work.
6. A ban, not exceeding 28 days, from any specified College locations, facilities and services which, for the subject, serve an exclusively or almost exclusively recreational function.
7. Service on College premises, of up to 20 hours, so scheduled as to give priority to the subject's academic work.
8. A fine of up to £500, so calculated so as not to expose the subject to disproportionate hardship.

#### **Penalties for Major Breaches of Discipline**

9. Any of the penalties specified above for Minor Breaches of Discipline or a combination of these penalties.
10. A ban, for a period of up to one academic year, from any College premises other than by prior consent for academic purposes and/or from residing in College accommodation with or without conditions that need to be satisfied before a return to the College premises and/or accommodation; or
11. A ban, for a period of up to one academic year, from any College premises other than by prior consent for academic purposes and/or from residing in College accommodation unless certain conditions are satisfied, with or without further conditions that need to be satisfied before a return to the College premises and/or accommodation; or
12. Suspension from the College for a period of up to one academic year, with or without conditions that need be satisfied before return to College; or
13. Suspension from the College for a period of up to one academic year unless certain conditions are satisfied, with or without further conditions that need to be satisfied before return to College; or [Suspension from the College will lead to suspension from the University]

14. Expulsion from the College unless certain conditions are satisfied; or
15. Expulsion from the College

### **Conditional determination**

The Dean or a Disciplinary Panel may also dispose of the case by making a conditional determination that there should be no penalty so long as certain conditions are satisfied.

### **Conditions**

The conditions that may be attached to a penalty under paragraphs 9 to 14 above, or to a conditional determination include:

- (a) that the subject is to commit no breach of the Code of Discipline of any type or of any specified type for a specified period or indefinitely;
- (b) that the subject is to report to the Dean at such intervals and for such period as the Dean and/or Disciplinary Panel may determine with a view to keeping the subject's conduct under review and for the purposes of which review the subject's assent to a conduct agreement may be required;
- (c) that the subject is to take some other reasonable step or steps specified in the disposal, the step or steps to be taken before a specified date, provided that the step will not be one designed to penalise the student.

### **Costs**

The Dean may always pass on to a student any costs incurred by the College as a direct consequence of the breach of discipline, for example the cost of removing graffiti. This does not include costs attributable to investigating and establishing the breach of discipline, or legal fees.

### **Approach to disposal**

When reaching a decision as to what penalty to impose, or specify in a conditional determination, the Dean or Disciplinary Panel will dispose of the case in a manner which is proportionate to the circumstances of the breach of discipline and the subject.

Relevant factors may include (without limitation):

- The seriousness of the breach of discipline

- The degree of harm caused to any victim, including the College
- The subject's previous disciplinary record
- Whether or not the subject has co-operated with the investigation
- The degree of insight shown by the subject
- The existence of mitigating or aggravating factors
- In the case of a financial penalty, the subject's financial position

Mitigating factors may include (without limitation):

- The subject has apologised to any victim
- It is the subject's first breach of discipline
- The subject admitted the breach of discipline at the earliest opportunity
- The subject has expressed remorse
- The subject has compelling circumstances that affected their judgment

Aggravating factors may include (without limitation):

- Any failure to co-operate with investigatory or risk assessment processes
- Where the conduct was motivated by protected characteristics or perceived protected characteristics
- Repeated breaches of the same or similar provisions of the Code of Discipline
- Failure to comply with a minor sanction



## APPENDIX B

### APPLICATION OF THE PROCEDURE

1) This Procedure applies to any current or suspended student of Somerville College, whether undergraduate or graduate, any visiting student, common awards student, associate member of the JCR or MCR, and whether in residence or out of residence at the time, who is alleged to have breached the College Code of Discipline as set out in Appendix A.

2) An alleged breach of the College Code of Discipline may be the subject of disciplinary steps under the Procedure only if the subject is alleged to have committed the alleged breach in their capacity as a member of the College. A breach of discipline will be treated as having been committed in that capacity if:

a) it was committed on or near College premises; or

b) it was committed on or near the premises of another college or on or near University premises and a reasonable request is received from the Dean or other competent official of that other college, or from the University Proctors or the Head of a University Department or the Chair of a University Faculty Board as the case may be, to deal with the matter as an offence against College discipline; or

c) it was committed during College activities away from College premises; or

d) it was committed when studying at a partner organisation; or

e) it was committed on social media against any other member of the College; or

f) it threatens to bring the College into disrepute among reasonable people; or

g) it was committed by the use of College facilities (such as computer networks) or privileges (such as intercollegiate internal mail); or

h) it was committed by the use of University facilities (such as computer networks) or privileges (such as a University Card) and a reasonable request is received from the University Proctors to deal with the matter as an offence against College discipline; or

i) it was committed against the College or any other member of the College.

1.1. For certain types of report, steps may be taken under other procedures before the matter is addressed by the Dean. In particular:

1.1.1. Complaints about harassment including sexual misconduct may be considered under the College's harassment and sexual misconduct procedure: <https://www.some.ox.ac.uk/about/policies-finances/college-policies/>.

1.1.2. Reports about medical students and PGCE students may be subject to preliminary consideration by the relevant University Department under Fitness to Practise procedures and may also be considered by that Department after the completion of a disciplinary procedure in College. The Department may impose precautionary measures pending the outcome of investigation under this procedure.

### **Other College Policies**

1.2. Where this Procedure applies, and the subject's situation also constitutes a proper basis for steps to be taken under another policy or procedure of the College (for example the College's fitness to study procedure), and the responsible person in College for that other procedure confirms that a report has been made about the student under the other procedure or that steps under that other policy or procedure are anticipated or have been proposed or initiated, the responsible College officers under each policy or procedure will together determine, in consultation with the subject, whether all steps should be consolidated so that they are taken under a single policy or procedure, provided that such a consolidation may not have the effect of depriving the subject of an avenue of appeal that they would otherwise have enjoyed. In the event of disagreement as to the appropriateness of a consolidation, the final determination is to be made by the Principal. The responsible officer of the College for the purpose of this procedure is the Dean.

### **University Policies**

1.3. Where this Procedure applies and the subject's situation also constitutes a proper basis for disciplinary steps to be taken by the University Proctors, and such steps have been proposed or initiated, any steps proposed or initiated under this procedure may be stayed until the conclusion of Proctorial proceedings. Disciplinary steps may subsequently be taken under this Procedure notwithstanding that Proctorial proceedings have been discontinued or that Proctorial charges have been dismissed, and no finding of fact by the Proctors or absence of any such finding will bind the College.

### **Behaviour which could constitute a criminal offence**

1.4. Where this Procedure applies and the subject's situation also constitutes a proper basis for investigation by the police or by any other public authority, or for any other steps to be taken towards prosecution in the criminal courts, and such steps have been proposed or initiated (or their imminent proposal or initiation can reasonably be foreseen), any steps proposed or initiated under this Procedure will usually be stayed until the conclusion or abandonment of the investigation or prosecution.

1.5. Where the alleged victim of an alleged criminal offence is a member of the College, the College will provide that person with necessary support, including supporting them in their decision about reporting the matter to police.

1.6. Disciplinary steps may subsequently be taken under this Procedure notwithstanding that criminal proceedings have not been commenced or have been discontinued or that criminal charges have been dismissed. The College will not treat discontinuance or dismissal of such proceedings as evidence that the subject did not breach the Code of Discipline in the manner alleged.

1.7. The fact that proceedings under this Procedure are stayed pending the outcome of criminal investigation or prosecution will not prevent the Dean from taking safeguarding and/or precautionary measures referred to in this Procedure.

1.8. Where a student is found guilty of a breach of University regulations or a criminal offence, the College may subsequently pursue the same matter under this procedure and attach its own penalty to the same breach or offence, making due allowance for any penalty or other measure already imposed by the Proctors or by the courts. The College also retains the right, following any Proctorial finding against or criminal conviction of the Student as well as in any other circumstances, to take steps to ensure the peace of the College and the safety of its members, which are not regulated by this Procedure.

## **APPENDIX C**

### **DEFINITIONS**

'College' means Somerville College;

'Member of the College' for the purpose of this procedure includes the current Principal and any other College office-holder, current Fellow, current student and employee of the College

'Dean' means the Dean for Discipline

'Disciplinary Panel' means a panel convened in accordance with Part 6.

'Procedure' means this Non-Academic Disciplinary Procedure;

'Breach of discipline' means a breach of the rules set out the College Code of Discipline and contained in the provisions specified in Appendix A(I)

'Reporter' means a person who submits a report under this Procedure

'Subject' means a student who is alleged to have breached the College Code of Discipline